

Deep Reinforcement Learning with Local Interpretability for Transparent Microgrid Resilience Energy Management

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Why Resilience is Fundamental for Microgrids

- ▶ **Isolated communities :**
Limited/no grid access →
Self-reliance essential
- ▶ **Natural disasters :**
Cyclones damage
transmission infrastructure
- ▶ **Energy-water-food nexus :**
Power outages cascade to
vital services

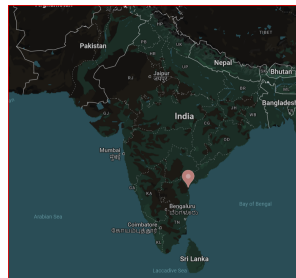


Understanding decision made by a system is necessary for adoption.

Context

A real micro grid scenario¹, during an High Impact scenario (cyclone Layla).

- ▶ Actual loads from a village Ongole (India)
- ▶ Actual wind and solar data from the location of Ongole, including the Layla cyclone



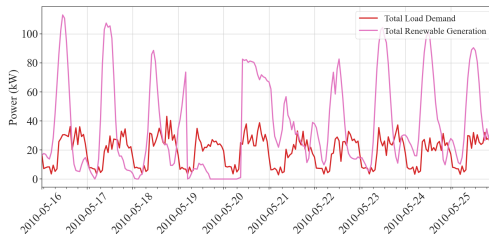
The design and sizing done using HOMER pro.

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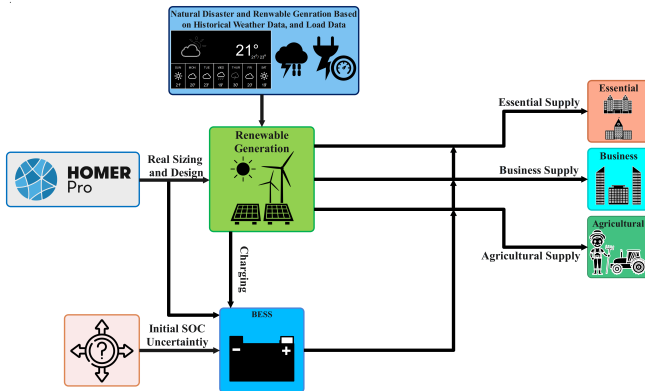
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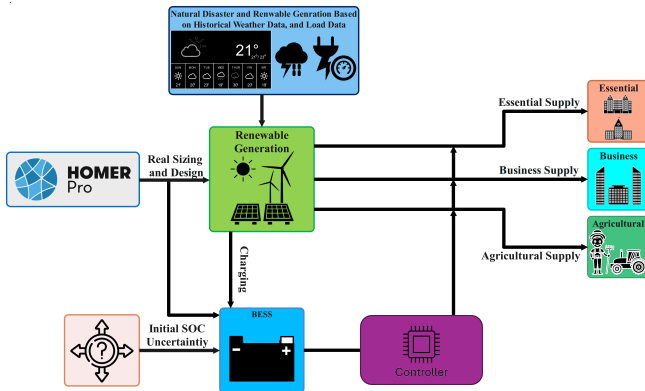
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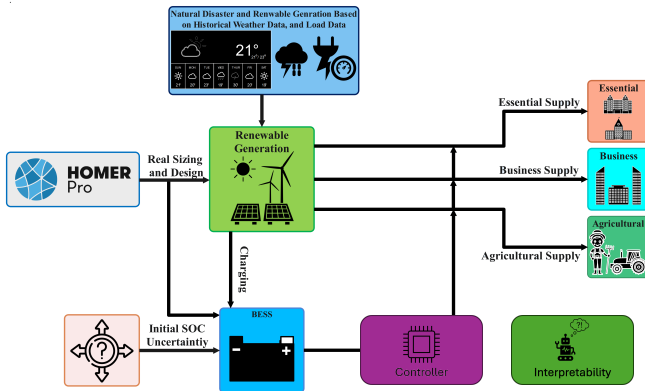
Three Stages design



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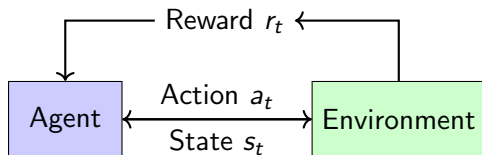


Three Stages design



Deep Reinforcement Learning Fundamentals

- ▶ **Agent** : Controller making decisions
- ▶ **Environment** : Smart grid (solar, wind, battery, loads)
- ▶ **State** s_t : Current observations (generation, demand, SOC)
- ▶ **Action** a_t : Control decisions (Battery dis/charge/idle, load priority)
- ▶ **Reward** r_t : Performance metric (loads satisfaction + resiliency)



DRL for Isolated Smart Grid Management

System Components

- ▶ Renewable generation (solar/wind)
- ▶ Battery storage
- ▶ Critical loads

DRL Objectives

- ▶ Maximize load satisfaction
- ▶ Increase resiliency

Objectives means that the **rewards** needs to capture :

- ▶ Load priorities
- ▶ Battery dis/charge
- ▶ Resiliency

Reward shaping : Reliability

The main aim is to minimize the negative power imbalances P_{sh} .
No imbalance $\rightsquigarrow$ Rewards maximized :

$$r_t = \left(1 - \frac{7P_{sh,1,t} + 2P_{sh,2,t} + 1P_{sh,3,t}}{7L_{1,t} + 2L_{2,t} + 1L_{3,t}} \right).$$

It is normalized by loads L .

Reward shaping : Resilience

The resilience² is captured at the end :

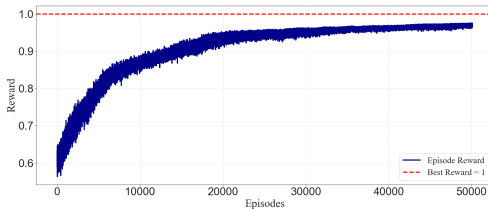
$$r_{\text{final}} = r_{\text{episode}} + \text{RI}.$$

RI captures the resiliency index $\text{RI} \in [0, 1]$:

$$\text{RI} = 1 - \frac{7 \sum_{t=1}^T P_{\text{sh},1,t} + 2 \sum_{t=1}^T P_{\text{sh},2,t} + 1 \sum_{t=1}^T P_{\text{sh},3,t}}{7 \sum_{t=1}^T L_{1,t} + 2 \sum_{t=1}^T L_{2,t} + 1 \sum_{t=1}^T L_{3,t}}.$$

Training

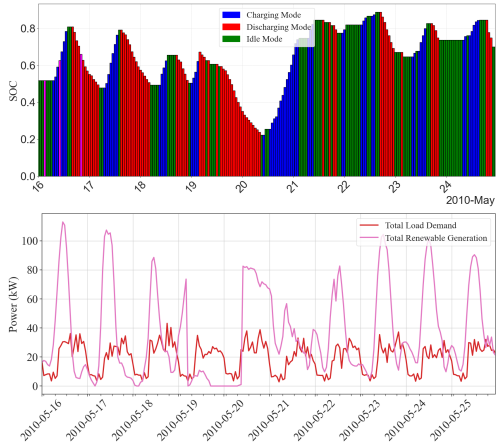
Training done using PPO algorithm and StableBaselines3³ library.



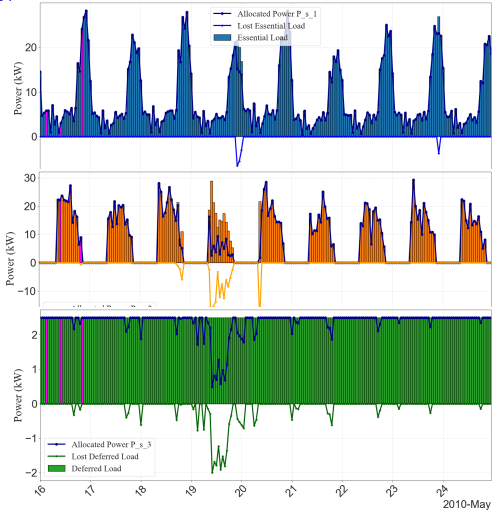
³ <https://stable-baselines3.readthedocs.io/>

Action : Evolution of SoC

SoC acts as expected.



Reliability and Resiliency



Resiliency Index **after** the cyclone :

$$RI > 0.97$$

Battery life : 15.11 years



The Need for Interpretability

The Problem

- ▶ Complex agents are uninterpretable
- ▶ We need to understand **why** decisions are made
- ▶ Especially critical for stakeholders

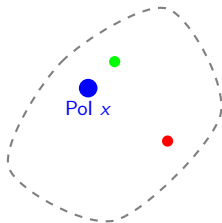
Which features **specific to this decision** were most important?

LIME Solution

- ▶ Explains **specific decisions**
- ▶ Works for **any** model
- ▶ Provides intuitive local explanations

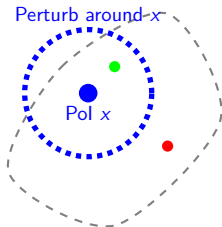


How LIME Works



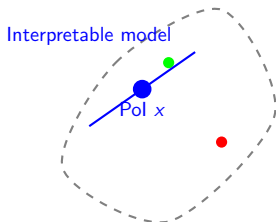
1. Chose Point of Interest

How LIME Works



1. Chose Point of Interest
2. Perturb around that point

How LIME Works

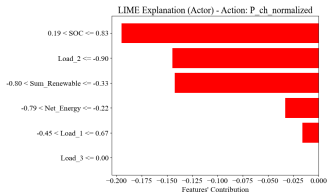


1. Chose Point of Interest
2. Perturb around that point
3. Construct a linear model.

Weights allow to understand
how features affect output

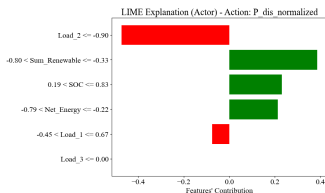
Explaining IDLE mode at h4

For the charging action : all features **discourage** charging.



⇒ no encouragement for charging.

Explaining IDLE mode at h4

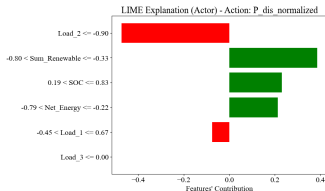


For the discharging action :

- ▶ SOC, renewable generation, and net energy **encourage** discharging
- ▶ L_2 strongly **discourage** discharging

↪ no clear encouragement for discharging.

Explaining IDLE mode at h4



For the discharging action :

- ▶ SOC, renewable generation, and net energy **encourage** discharging
- ▶ L_2 strongly **discourage** discharging

↪ no clear encouragement for discharging.

This balance of influences leads the actor to select the idle action.

Key outputs

- ▶ New DRL management system inherently resilient to unpredicted events
- ▶ Performances enforced with a new reward shaping including Resiliency Index $\Leftrightarrow RI \approx 0.97$
- ▶ Fully interpretable framework : Each decisions can be justified

Cumulative Rewards

Cumulative Reward (Return)

Agent's *long-term* objective :

$$R_t = \sum_{k=0}^{\infty} \gamma^k r_{t+k+1}$$

- ▶ $\gamma \in [0, 1)$: Discount factor (prioritizes near-term rewards)
- ▶ r_t : Immediate reward at time t

DRL Optimization Goal

Learn **policy** $\pi(a|s)$ (aka "rules") that maximizes expected return :

$$\max_{\pi} \mathbb{E}[R_t \mid s_t, \pi]$$

Implemented via :

- ▶ Deep neural networks approximating :
 - ▶ Policy $\pi(a|s)$ (*actor*)
 - ▶ Value function $V^{\pi}(s) = \mathbb{E}_{\pi}[R_t \mid s_t = s]$ (*critic*)
- ▶ Parameter updates via gradient ascent :

$$\nabla_{\theta} J(\theta) \propto \mathbb{E}[\nabla_{\theta} \log \pi_{\theta}(a|s) \cdot R_t]$$

DRL agents *learn by experience* to maximize cumulative future rewards through function approximation.

LIME Mathematics and Advantages

Mathematical Formulation

$$\min_{g \in G} \mathcal{L}(f, g, \pi_x) + \Omega(g)$$

s.t. g simple enough

- ▶ f : Complex model
- ▶ g : Interpretable model
- ▶ π_x : Proximity measure
- ▶ $\Omega(g)$: Model complexity

Key Advantages

- ▶ Model-agnostic
- ▶ Human-interpretable
- ▶ Local fidelity
- ▶ Sample instability
- ▶ No global perspective